

# DERIVED HECKE ALGEBRA IN THE TAYLOR-WILES SETTING, I

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*A talk in the Derived Structures in the Langlands Program study group at UCL in Spring 2019.  
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## 1. INTRODUCTION

Let  $\mathbf{G}$  be a simply connected semisimple split algebraic group over  $\mathbf{Q}$ . Fix a Borel  $\mathbf{B} \subset \mathbf{G}$  and a maximal torus  $\mathbf{A} \subset \mathbf{B}$ . Fix a “base level”  $K_0 \subset \mathbf{G}(\mathbf{A}_f)$  in the finite adeles, and let  $Y(1) = Y(K_0)$  denote the usual adèlic double quotient.

Let  $\pi$  denote a tempered, cohomological cuspidal automorphic representation of  $\mathbf{G}$  such that  $\pi^{K_0} \neq 0$ .

Let  $T$  denote the set of all places where  $\pi$  is ramified, or where  $K_0$  is not hyperspecial. These are the “bad primes”.

We have the derived Hecke algebra

$$\tilde{\mathbf{T}} = \bigoplus_{v \notin T \cup \{p\}} \tilde{\mathbf{T}}_v,$$

with  $\mathbf{Z}_p$ -coefficients, for some fixed prime  $p \gg 0$ .

**Theorem 1.1** (7.6 in [1]). *Let  $\mathfrak{m} \subset \mathbf{T}_{K_0}$  be the maximal ideal associated to  $\pi$ . Under some assumptions on  $\mathfrak{m}$  (which we will describe), the cohomology group*

$$H^*(Y(1), \mathbf{Z}_p)_{\mathfrak{m}}$$

*is generated as a  $\tilde{\mathbf{T}}$ -module by  $H^q(Y(1), \mathbf{Z}_p)_{\mathfrak{m}}$ , where as usual*

$$q = \frac{1}{2}(\dim Y(1) - \delta),$$

*and  $\delta = \text{rank } \mathbf{G}(\mathbf{R}) - \text{rank } K_\infty$  (where  $K_\infty$  is a maximal compact subgroup of  $\mathbf{G}(\mathbf{R})$ ).*

## 2. NOTATION AND ASSUMPTIONS

Let  $\mathcal{G}/\mathbf{Z}_p$  be an integral model for  $\mathbf{G}$ , with Borel and maximal torus  $\mathcal{A} \subset \mathcal{B}$ . Let  $A = T = \mathcal{A}_{\mathbf{F}_p}$  and  $G = \mathcal{G}_{\mathbf{F}_p}$ . Let  $G^\vee$  denote the dual group of  $\mathbf{G}$  over  $\mathbf{Z}$ , and let  $B^\vee$  and  $A^\vee = T^\vee$  denote the dual Borel and dual torus.

**2.1. Assumptions on  $\pi$ .** For simplicity, we assume the coefficient field of  $\pi$  is  $\mathbf{Q}$  and we write  $\mathbf{T}_{K_0}$  for the usual Hecke algebra

$$\text{image}(H_{K_0} \rightarrow \text{End}_{D(\mathbf{Z}_p)}(\text{Chains}(Y(1), \mathbf{Z}_p)))$$

where  $H_{K_0}$  is the  $\mathbf{Z}_p$ -module generated by all Hecke operators prime to the level and to  $p$ , and  $\text{Chains}(Y(1), \mathbf{Z}_p)$  denotes the complex of singular chains for  $Y(1)$  in the derived category of  $\mathbf{Z}_p$ -modules. The representation  $\pi$  gives rise to a homomorphism  $\mathbf{T}_{K_0} \rightarrow k := \mathbf{F}_p$ , whose kernel is the maximal ideal associated to (the mod  $p$  reduction of)  $\pi$ .

Choose  $p \gg 0$  such that

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*Date:* Feb 13, 2019.

(1)  $H^*(Y(1), \mathbf{Z}_p)$  is torsion-free, and we want  $p \nmid (\#W)$ , where  $W$  is the Weyl group of  $\mathbf{G}$ .

(2) There is a representation

$$\tilde{\rho} : G_{\mathbf{Q}} \rightarrow G^{\vee}(\mathbf{T}_{K_{0,m}})$$

satisfying the ‘‘usual properties’’ (see Section 6.2 of [2] for the exact assumptions). Let  $\bar{\rho} = \tilde{\rho} \bmod p$ .

(3) (No congruences)  $\mathbf{T}_{K_{0,m}} \cong \mathbf{Z}_p$ . In some sense this is saying that  $\pi$  is ‘‘minimally ramified’’.

(4)  $H_j(Y(1), \mathbf{Z}_p)_m$  is nonzero only in degrees  $[q, q + \delta]$ .

## 2.2. Assumptions on $\tilde{\rho}$ and $R_{\tilde{\rho}}$ .

- Assume  $\bar{\rho}$  has ‘‘big image’’ (i.e. the image of  $\bar{\rho}|_{\mathbf{Q}(\mu_{p^\infty})}$  contains the image of the  $k$ -points of the simply connected cover of  $G^{\vee}$ ), which in particular implies that  $\text{End}_k(\bar{\rho}) = k^{\times}$ .
- $\tilde{\rho}$  is ‘‘crystalline at  $p$ ’’, in a precise deformation theoretic sense as in Conjecture 6.1 in [1].
- $H^0(\mathbf{Q}_q, \text{Ad}\tilde{\rho}) = H^2(\mathbf{Q}_q, \text{Ad}\tilde{\rho}) = 0$  for all  $q \in T \cup \{p\}$ . This implies that the local deformation ring  $R_{\tilde{\rho}}$  is equal to  $\mathbf{Z}_p$  if  $q \in T$ , and formally smooth if  $q = p$ .

## 3. TAYLOR-WILES PRIMES

**Definition 3.1.** A Taylor-Wiles datum is a set  $Q_n = \{q_1, \dots, q_s\}$  of primes such that

- (1)  $Q_n$  is disjoint from  $T \cup \{p\}$ .
- (2)  $p^n \mid (q_i - 1)$  for  $i = 1, \dots, s$ .
- (3) For  $i = 1, \dots, s$ ,  $\bar{\rho}(\text{Frob}_{q_i})$  is conjugate to a ‘‘strongly regular’’ element  $\text{Frob}_{q_i}^T \in T^{\vee}(k)$ , which means that

$$\text{Cent}_{G^{\vee}}(\text{Frob}_{q_i}^T) = T^{\vee}.$$

Note there are  $|W|$  choices of  $\text{Frob}_{q_i}^T$ .

Briefly: these exist by the Chebotarev density theorem, and the fact that we have a big image assumption on  $\bar{\rho}$ .

## 4. LEVEL STRUCTURES

For a Taylor-Wiles prime  $q \in Q_n$ , let  $Y_1(q)$  denote the locally symmetric space whose level is the preimage of a unipotent radical under reduction mod  $q$ :  $\mathcal{G}(\mathbf{Z}_q) \rightarrow \mathcal{G}(\mathbf{F}_q)$ . We have a tower

$$Y_1(q) \rightarrow Y_1(q, n) \rightarrow Y_0(q),$$

where  $Y_0(q)$  has Iwahori level, i.e. the preimage of  $\mathcal{B}(\mathbf{F}_q)$  under the same reduction map.

This cover  $Y_1(q) \rightarrow Y_0(q)$  is a Galois cover, with Galois group  $\mathbf{A}(\mathbf{F}_q)$  and the second map is the unique subcover with Galois group

$$\mathbf{A}(\mathbf{F}_q)/p^n \cong (\mathbf{Z}/p^n\mathbf{Z})^r$$

where  $r$  is the rank of  $\mathbf{A}$ . In general, we set

$$Y_1^*(Q_n) = Y_1(q_1, n) \times_{Y(1)} \cdots \times_{Y(1)} Y_1(q_s, n)$$

and

$$Y_0(Q_n) = Y_0(q_1) \times_{Y(1)} \cdots \times_{Y(1)} Y_0(q_s)$$

Then

$$Y_1^*(Q_n) \rightarrow Y_0(Q_n)$$

is Galois with Galois group  $T_n := \prod_{i=1}^s \mathbf{A}(\mathbf{F}_q)/p^n$  which is non-canonically isomorphic to  $(\mathbf{Z}/p^n\mathbf{Z})^{rs}$ . From now on, let  $R = rs$ , which will be the dimension of  $S_\infty$  once we carry out the patching process.

## 5. LOCAL-GLOBAL COMPATIBILITY

**5.1. Relationship between  $Y_0(Q_n)$  and  $Y(1)$ .** Let  $Q_n$  be a Taylor-Wiles datum. For  $q \in Q_n$ , let

$$H_{K_q}, H_{I_q}, H_{K_q, I_q}, H_{I_q, K_q}$$

be the (underived) spherical/Iwahori Hecke-algebras with  $\mathbf{Z}/p^n\mathbf{Z}$ -coefficients.

The centre of  $H_{I_q}$  can be identified with  $H_{K_q}$  and we assume that  $H_{K_q}$  acts on  $H_*(Y_0(q), k)_\mathfrak{m}$  (via this identification) by means of the same (generalised) eigencharacter  $H_{K_q} \rightarrow k$  by which  $H_{K_q}$  acts on  $\pi$ .

As a consequence of this, we find that

$$H^*(Y(1), \mathbf{Z}/p^n\mathbf{Z})_\mathfrak{m} \otimes_{(\otimes_{q \in Q_n} H_{K_q})} \bigotimes_{q \in Q_n} H_{K_q, I_q} \cong H^*(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_\mathfrak{m}$$

and

$$H^*(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_\mathfrak{m} \otimes_{(\otimes_{q \in Q_n} H_{K_q})} \bigotimes_{q \in Q_n} H_{I_q, K_q} \cong H^*(Y(1), \mathbf{Z}/p^n\mathbf{Z})_\mathfrak{m}$$

In particular, we have the decomposition

$$H^*(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_\mathfrak{m} = \bigoplus_{\text{Frob}^T} H^*(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}, \text{Frob}^T}$$

where  $\text{Frob}^T = \{\text{Frob}_{q_i}^T : i = 1, \dots, s\}$  and the subscript means that the “ $U_q$  Hecke operator”  $I_q \chi I_q$  acts via multiplication by  $\chi(\text{Frob}_q^T)$ , where  $\chi \in X_*(T) \cong X^*(T^\vee)$  is a dominant cocharacter (with respect to the Borel  $\mathbf{B}$ ). Note that

$$H^*(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}, \text{Frob}^T} \cong H^*(Y(1), \mathbf{Z}/p^n\mathbf{Z})_\mathfrak{m}$$

**5.2. Relationship between  $Y_0(Q_n)$  and  $Y_1^*(Q_n)$ .** Consider the universal deformation ring  $R_{\bar{\rho}, Q_n}^{\leq n}$  parametrizing deformations  $\rho$  of  $\bar{\rho}$  that are

- (1) unramified outside  $Q_n \cup T \cup \{p\}$ ,
- (2) crystalline at  $p$
- (3) inertia level  $\leq n$  for all  $q \in Q_n$ , i.e. the action of tame inertia factors through  $I_q/p^n$ .

Consider the universal deformation  $\sigma : G_{\mathbf{Q}} \rightarrow G^\vee(R_{\bar{\rho}, Q_n}^{\leq n})$ .

**Lemma 5.1** (6.12 in GV). *If  $Q_n$  is a Taylor-Wiles set and  $q \in Q_n$ , then  $\sigma_{G_{\mathbf{Q}_q}}$  can be uniquely conjugated to a representation*

$$G_{\mathbf{Q}_q} \rightarrow T^\vee(R_{\bar{\rho}, Q_n}^{\leq n}).$$

*landing in the torus where the image of a fixed uniformizer is  $\text{Frob}_{q_i}^T$ .*

If we restrict to  $\mathbf{F}_q^\times \subset \mathbf{Q}_q^\times$ , then we have

$$\mathbf{F}_q^\times \rightarrow \mathbf{F}_q^\times/p^n \rightarrow T^\vee(R_{\bar{\rho}, Q_n}^{\leq n})$$

and by pairing with characters in  $X^*(T^\vee)$ , we get a map

$$A(\mathbf{F}_q) \rightarrow \mathbf{A}(\mathbf{F}_q)/p^n \rightarrow (R_{\bar{\rho}, Q_n}^{\leq n})^\times$$

Then we have a map

$$T_n \rightarrow (R_{\bar{\rho}, Q_n}^{\leq n})^\times \rightarrow \mathbf{T}_\mathfrak{m}.$$

Assume the action of  $T_n$  on  $H^*(Y_1^*(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}, \text{Frob}^T}$  via the above map coincides with the action via deck transformations, where  $T_n$  is the Galois group of  $Y_1^*(Q_n)$  over  $Y_0(Q_n)$ .

## 6. PATCHING

Let  $R$  denote the dimension of the dual Selmer group for  $\text{Ad}\bar{\rho}$  associated to the deformation functor  $\text{Def}_{\bar{\rho}}^{\text{cris}}$ .

- (1) Define the group ring  $S_n = \mathbf{Z}/p^n\mathbf{Z}[T_n]$ , and let  $I_n$  denote the augmentation ideal, so that  $S_n/I_n \cong \mathbf{Z}/p^n\mathbf{Z}$ . Let

$$S_\infty = \mathbf{Z}_p\langle x_1, \dots, x_R \rangle$$

and  $I_\infty$  denote the ideal  $(x_1, \dots, x_R)$ .

- (2) We have perfect complexes

$$C_0 = \text{Chains}(Y(1), \mathbf{Z}_p)_{\mathfrak{m}}$$

and

$$C_n = \text{Chains}(Y_1^*(Q_n), \mathbf{Z}_p)_{\mathfrak{m}, \text{Frob}^T}$$

- (3) We have deformation rings

$$R_0 = R_{\bar{\rho}} \rightarrow \text{End}_{D(\mathbf{Z}_p)}(C_0)$$

and

$$R_n = R_{\bar{\rho}, Q_n}^{\leq n} / (p^n, \mathfrak{m}^{k(n)}).$$

There is a map  $S_n \rightarrow R_n$ , and can assume  $k(n) \geq 2n$ .

- (4) By the formal smoothness assumption on  $\bar{\rho}$ , we get surjective maps

$$R_\infty = \mathbf{Z}_p\langle x_1, \dots, x_{R-\delta} \rangle \twoheadrightarrow R_n$$

such that  $C_n/I_n \cong C_0/p^n$ : this follows from local-global compatibility. We have a diagram

$$\begin{array}{ccc} R_n & \longrightarrow & \text{End}_{D(S_n)}(C_n) \\ \downarrow & & \downarrow \\ R_n/p^n & \longrightarrow & \text{End}_{D(\mathbf{Z}/p^n)}(C_0/p^n) \end{array}$$

We can choose a sequence of Taylor-Wiles data  $\{Q_n\}_{n \geq 1}$ . Then

- (1) we get a perfect complex  $C_\infty$  of  $S_\infty$ -modules concentrated in  $[-(q+\delta), -q]$ , such that

$$C_\infty \otimes_{S_\infty}^{\mathbf{L}} S_n \cong C_n$$

and

$$C_\infty \otimes_{S_\infty}^{\mathbf{L}} \mathbf{Z}_p \cong C_0$$

- (2) The map  $S_\infty \rightarrow R_\infty$  is surjective by the no congruences assumption.

- (3) Moreover,  $C_\infty$  is quasi-isomorphic to  $H_q(C_\infty)$  with the latter free over  $R_\infty$ .

## 7. PROOF OF THEOREM 1

By definition of the derived Hecke algebra, it is enough to prove it for  $H^*(Y(1), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}}$  for all  $n \geq 1$ .

Assume that

$$H^q(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}_p)) \times \mathrm{Ext}_{S_\infty}^j(\mathbf{Z}_p, \mathbf{Z}_p) \twoheadrightarrow H^{q+j}(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}_p))$$

is a surjection.<sup>1</sup> Note

$$H^q(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}_p)) = \mathrm{Hom}_{D(S_\infty)}(C_\infty, \mathbf{Z}_p[q]),$$

and

$$\mathrm{Ext}_{S_\infty}^j(\mathbf{Z}_p, \mathbf{Z}_p) = \mathrm{Hom}_{D(S_\infty)}(\mathbf{Z}_p[q], \mathbf{Z}_p[q+j]),$$

so the map is just the natural composition map coming from this description.

We pass to level  $n$ :

$$\begin{array}{ccccc} H^q(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}_p)) & \times & \mathrm{Ext}_{S_\infty}^j(\mathbf{Z}_p, \mathbf{Z}_p) & \longrightarrow & H^{q+j}(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}_p)) \\ \parallel & & \downarrow & & \downarrow (\dagger) \\ H^q(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}_p)) & \times & \mathrm{Ext}_{S_\infty}^j(\mathbf{Z}_p, \mathbf{Z}/p^n\mathbf{Z}) & \longrightarrow & H^{q+j}(\mathrm{Hom}_{S_\infty}(C_\infty, \mathbf{Z}/p^n\mathbf{Z})) \\ \downarrow (**) & & \uparrow (*) & & \uparrow \sim \\ H^q(\mathrm{Hom}_{S_n}(C_n, \mathbf{Z}/p^n\mathbf{Z})) & \times & \mathrm{Ext}_{S_n}^j(\mathbf{Z}/p^n\mathbf{Z}, \mathbf{Z}/p^n\mathbf{Z}) & \longrightarrow & H^{q+j}(\mathrm{Hom}_{S_n}(C_n, \mathbf{Z}/p^n\mathbf{Z})) \end{array}$$

This diagram is commutative in the sense that the maps  $(*)$  and  $(**)$  are adjoint. Furthermore,  $(*)$  is surjective by the unnumbered Lemma in [2, §6.4] and  $(\dagger)$  is surjective by the no torsion assumption. Tracing through the diagram, we see that the bottom row is surjective. But

- $H^{q+j}(\mathrm{Hom}_{S_n}(C_n, \mathbf{Z}/p^n\mathbf{Z})) \cong H^{q+j}(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}, \mathrm{Frob}^T}$
- $\mathrm{Ext}_{S_n}^j(\mathbf{Z}/p^n\mathbf{Z}, \mathbf{Z}/p^n\mathbf{Z}) = H^j(T_n, \mathbf{Z}/p^n\mathbf{Z})$  and the action factors through

$$\bigotimes_{q \in Q_n} \mathcal{H}_{I_q}$$

by pulling back under  $Y_0(Q_n) \rightarrow BT_n$  and cupping.

We have three *surjective* maps

(1)

$$H^q(Y(1), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}} \otimes_{(\bigotimes_{q \in Q_n} H_{K_q})} \bigotimes_{q \in Q_n} H_{K_q, I_q} \twoheadrightarrow H^q(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}}$$

(2)

$$H^q(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}} \otimes_{(\bigotimes_{q \in Q_n} H_{K_q})} \bigotimes_{q \in Q_n} \mathcal{H}_{I_q} \twoheadrightarrow H^q(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}}$$

(3)

$$H^{q+j}(Y_0(Q_n), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}} \otimes_{(\bigotimes_{q \in Q_n} H_{K_q})} \bigotimes_{q \in Q_n} H_{I_q, K_q} \twoheadrightarrow H^{q+j}(Y(1), \mathbf{Z}/p^n\mathbf{Z})_{\mathfrak{m}}$$

<sup>1</sup>This is proven in [2, Appendix B] and involves a Koszul resolution calculation. The key point is that  $C_\infty$  is quasi-isomorphic to a free  $R_\infty$ -module, and  $R_\infty$  is a quotient of  $S_\infty$ .

where the first and third maps follow from local-global compatibility. Furthermore the actions of these Hecke algebras are compatible under the morphisms  $H_{K_q, I_q} \otimes \mathcal{H}_{I_q} \otimes H_{I_q, K_q} \rightarrow \mathcal{H}_{K_q}$ .

Thus  $\bigotimes_q \mathcal{H}_{K_q}$  acts surjectively on  $H^*(Y(1), \mathbf{Z}/p^n \mathbf{Z})_{\mathfrak{m}}$ .

#### REFERENCES

- [1] S. Galatius and A. Venkatesh. Derived Galois deformation rings. *Adv. Math.*, 327:470–623, 2018.
- [2] Akshay Venkatesh. Derived Hecke algebra and cohomology of arithmetic groups. *arXiv e-prints*, page arXiv:1608.07234, Aug 2016.